

SUNDARAM MUTUAL FUND

DISCLOSURE OF EXERCISE OF PROXY VOTES IN EQUITY HOLDINGS ACROSS SCHEMES OF SUNDARAM MUTUAL FUND

FINANCIAL YEAR 2013-14

Shareholder Proposals

Date	Name of the Company	Type of Meeting (AGM / EGM)	Proposal	Management Recommendation	Vote (For / Against / Abstain)
25-Apr-2013	Fag Bearings India Limited	AGM	Appointment of Mr. Udo Bauer, Additional Director under Sec 260 of Co Act to be appointed as Director under section 257 of the Act	In favour of the proposal	Abstain
30-Apr-2013	SKF India Limited	AGM	Appointment of Mr. Prakash M Telang, Additional Director under Sec 260 of Co Act to be appointed as Director under section 257 of the Act	In favour of the proposal	Abstain
7-May-2013	Ranbaxy Laboratories Limited	AGM	Appointment of Dr. Kazunori Hirokawa, who was an additional Director, under Sec 257 of the Companies Act	In favour of the proposal	Abstain
5-Jun-2013	Development Credit Bank Ltd	AGM	Appointment of Mr. Imran Contractor, Mr. Keki Elavia, Mr. C Narasimhan, Mr. Nalin Shah, Mr.S Sridhar and Mr. Jamal Pradhan as Directors under Sec. 257 of the Act	In favour of the proposal	Abstain
8-Jun-2013	Yes Bank Limited	AGM	Appointment of Mr. Diwan Arun Nanda, Mr. Ravish Chopra and Mr. R Srinivasan, as Directors under Sec 257 of the companies Act	In favour of the proposal	Abstain
15-Jun-2013	Infosys Limited	AGM	Appointment of Leo Puri, who was an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
24-Jun-2013	ICICI Bank Limited	AGM	Appointment of Mr. Dileep Chokshi, Mr. K V Kamath, Mr. N S Kannan, Mr. K Ramkumar, Mr. Rajiv Sabharwal and Mrs. Chandra Kochhar as Directors under Sec 257 of the companies Act	In favour of the proposal	Abstain
24-Jun-2013	Ashoka Buildcon Ltd	AGM	Appointment of Gyan Chand Daga, who was an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
24-Jun-2013	Asian Paints Ltd	AGM	Appointment of M K Sharma, who was an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
25-Jun-2013	ING Vysya Bank Ltd	AGM	Appointment of Sanjeev Agha, who was an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
27-Jun-2013	HDFC Bank Limited	AGM	Appointment of Vijay Merchant, who was an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
2-Jul-2013	Tata Coffee Limited	AGM	Appointment of Mr. Harish Bhat, as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
3-Jul-2013	Bharti Infratel Limited	AGM	Appointment of Mr. Rakesh Bharti Mittal, Mr. Bharat Sumant Raut, Mr. Jitender Balakrishnan, Mr. Vinod Dhall, Mr. Sanjay Nair, Ms. Leena Srivastava, who were additional Directors as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
4-Jul-2013	Petronet LNG Limited	AGM	Appointment of Mr. Vivek Rae as Director under Sec. 257, who was earlier an additional director under sec 260	In favour of the proposal	Abstain
12-Jul-2013	KPIT Cummins Infosystems Ltd	AGM	Appointment of Mr.Sanjay Kukreja, who was additional Directors as Director not liable to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
12-Jul-2013	KPIT Cummins Infosystems Ltd	AGM	Appointment of Mr. B V R Subbu, who was additional Directors as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
17-Jul-2013	Heritage Foods India Limited	AGM	Appointment of Mrs. Nara Brahmani, who was additional Directors as Director not liable to retire by rotation under sec 257 of the Act and her appointment as Whole Time Director	In favour of the proposal	Abstain
18-Jul-2013	Kotak Mahindra Bank Limited	AGM	Appointment of Mr. S Mahendra Dev, who was an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
19-Jul-2013	Axis Bank Ltd	AGM	Appointment of Mr. Ireena Bhagat, Mr. Rohit Mittal and Dr. Sanjiv Mishra, who were additional Directors as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain

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19-Jul-2013	United Phosphorous Ltd	AGM	Appointment of Mr. Suresh P Prabhu, who was an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
19-Jul-2013	TVS Motor Company Limited	AGM	Appointment of Mr. Hemant Krishnan Singh and Mr. Sudarshan Venu, who were additional Directors under sec 260, as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
23-Jul-2013	V Guard Industries Limited	AGM	Appointment of Mr. Cherian N Punnoose and Mr. V Ramachandran, who were additional Directors as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
23-Jul-2013	Coromandel International Limited	AGM	Appointment of Mr. Uday Chander Khanna, who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
24-Jul-2013	Cairn India Limited	AGM	Appointment of Mr. P Elango, who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
24-Jul-2013	Grindwell Norton Limited	AGM	Appointment of Mr. Keki M Elavia, who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
24-Jul-2013	Wabco India Limited	AGM	Appointment of Mr. Micheal Edward Thompson, who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
24-Jul-2013	Hathway Cable and Datacom Limited	AGM	Appointment of Mr. Jagdish Kumar G Pillai, who was an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
25-Jul-2013	Shriram City Union Finance Limited	AGM	Appointment of Mr. Vipen Kapur and Mr. Pranab Prakash Pattanayak, who were additional Directors as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
25-Jul-2013	JSW Energy Limited	AGM	Appointment of Mr. Sanjay Sagar, who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
25-Jul-2013	JSW Energy Limited	AGM	Appointment of Mr. Pramod Menon, who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
25-Jul-2013	Kalpataru Power Transmission Limited	AGM	Appointment of Mr. Ranjit Singh who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
25-Jul-2013	Wipro Limited	AGM	Appointment of Mr. Vyomesh Joshi who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
26-Jul-2013	SRF Limited	AGM	Appointment of Mr. Pramod Bhasin, who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
26-Jul-2013	ITC limited	AGM	Appointment of Ms. Meera Shankar , Mr. Dinesh Kumar Mehrothra, Mr. Sunil Behari Mathur, Mr. Pillapakkam Bahukutumbi Ramanujam, Mr. Kurush Noshir Grant and Mr. Shahibzada Syed Habib Ur Rehman, who were additional Directors as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
26-Jul-2013	Torrent Pharmaceuticals Ltd	AGM	Appointment of Mr. Pradeep Bhargava as Director under Sec 257 of the Act	In favour of the proposal	Abstain
26-Jul-2013	Hindustan Unilever Limited	AGM	Appointment of Mr. Sanjiv Mishra, who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
29-Jul-2013	IDFC Limited	AGM	Appointment of Mr. Joseph Dominic Silva, Ms. Snehlata Shrivastava who were additional Directors as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
29-Jul-2013	Mahindra Holiday & Resorts India Limited	AGM	Appointment of Mr. Sanjeev Agha who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
30-Jul-2013	EID Parry India Limited	AGM	Appointment of Mr. V Manickam who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain

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30-Jul-2013	Ipca Laboratories Limited	AGM	Appointment of Dr. Ramakanta M Panda, who is an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
31-Jul-2013	Cholamandalam Investment & Finance Company Limited	AGM	Appointment of Mr. V Manickam who was additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
1-Aug-2013	Cummins India Limited	AGM	Appointment of Mr. Antonio Leitao, Mr. Prakash Telang and Mr. Edward Phillip Pence who were additional Directors as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
1-Aug-2013	Titan Industries Limited	AGM	Appointment of Mrs. Ireena Vittal, who was an additional Director as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
1-Aug-2013	Timken India Limited	AGM	Appointment of Mr. Sanjay Kaul and Mr. Christopher J Holding, as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
1-Aug-2013	Schneider Electric Infrastructure Limited	AGM	Appointment of Ms. Rajani Kesari and Mr. V S Vasudevan, as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
2-Aug-2013	Pricol Limited	AGM	Appointment of Mr. Vijay Mohan as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
2-Aug-2013	Indian Hotels Limited	AGM	Appointment of Mr. Cyrus P Mistry, Mr. Guy Lindsay Macintyre Crawford who were earlier additional directors as per sec 260 of the act as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
2-Aug-2013	Shasun Pharmaceuticals Limited	AGM	Appointment of Mrs. Indra J Parikh who was earlier an additional director as per sec 260 of the act as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
3-Aug-2013	Gujarat State Fert & Chemicals	AGM	Appointment of Dr. Hasmukh Adhia, who was earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
8-Aug-2013	Adani Ports and Special Economic Zone Limited	AGM	Appointment of Mr. G K Pillai and Mr. Sanjay Lalbhai who were earlier additional directors as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
8-Aug-2013	Entertainment Network India Limited	AGM	Appointment of Mr. B S Nagesh and Mr. Vipul Paul Rashi who were earlier additional directors as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
8-Aug-2013	Polaris Financial Technology Limited	AGM	Appointment of Mr. Rajesh Kumar and Mr. V Balaraman who were earlier additional directors as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
8-Aug-2013	Bharat Forge Limited	AGM	Appointment of Mr. Vimal Bhandari, who was earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
12-Aug-2013	Rane Holdings Limited	AGM	Appointment of Mr. Anil Kumar Nehru, who was earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
14-Aug-2013	Tata Steels Limited	AGM	Appointment of Mr. Kausik Chatterjee and Mr. O P Bhatt who was earlier additional directors as per sec 260 of the act as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
14-Aug-2013	Amara Raja Batteries Limited	AGM	Appointment of Mr. Ravi Bammidipatti and Mr. Eric Stuart Mitchell who was earlier additional directors as per sec 260 of the act as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
16-Aug-2013	Tata Power Company Limited	AGM	Appointment of Ms. Vishaka Mulyae who was earlier additional directors as per sec 260 of the act as Directors to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain

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21-Aug-2013	Tata Motors Limited	AGM	Appointment of Ms. Falguni S Nayar and Mr. Karl J Slym, who was earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
21-Aug-2013	KEC International Limited	AGM	Appointment of Mr. S M Trehan, who was earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
22-Aug-2013	Larsen & Toubro Limited	AGM	Appointment of Mr. Damodaran and Mr. Vikram Singh, who was earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
23-Aug-2013	Engineers India Limited	AGM	Appointment of Dr. Archana S Mathur, Ms. Veena Swarup, Mr. Ajay N Deshpande and Mr. RN Choubey, who was earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
24-Aug-2013	Elecon Engineering Company	AGM	Appointment of Mr. Jai S Diwanji, who was earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
27-Aug-2013	Maruti Suzuki India Limited	AGM	Appointment of R P Singh as Director under sec 257 of the Act	In favour of the proposal	Abstain
30-Aug-2013	Rashtriya Chemicals & Fertilizers	AGM	Appointment of Mr. S C Gupta , who was earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
30-Aug-2013	Siti Cable Network Limited	AGM	Appointment of Mr. Subodh Kumar IAS and Mr. V D Wadhwa , who were earlier additional directors as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
2-Sep-2013	Indraprastha Gas Limited	AGM	Appointment of Mr. Narendra Kumar, Mr. Rajesh Chaturvedi Mr. Rajiv Kumar Mathur, Mr. Santosh Kumar Bajpai and Mr. Puneet Kumar Goel , who were earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
5-Sep-2013	Hindustan petroleum Corporation Limited	AGM	Appointment of Dr. Gitish K Shah, Mr. K V Rao, Mr. R K Singh and Mr. B K Namdeo, who were earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
5-Sep-2013	Hindustan petroleum Corporation Limited	AGM	Appointment of Ms. Obiageli Katryn Ezekwesili and Mr. Manish Kejriwal, as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
6-Sep-2013	Aditya Birla Nuvo Limited	AGM	To approval appointment of Mr. Lalit Naik as Director under sec 257 of the Act and to appoint him as Dy Managing Director for a period of 5 years from 1.1.13	In favour of the proposal	Abstain
7-Sep-2013	Texmaco Rail & Engineering Limited	AGM	To approval appointment of Mr. Sunil Mithra who was earlier an additional director under sec 260 of the act, as Director under sec 257 of the Act	In favour of the proposal	Abstain
13-Sep-2013	Repco Home Finance Limited	AGM	To approval appointment of Mr. Anand and Mr. Iqbal Singh who were earlier additional directors under sec 260 of the act, as Director under sec 257 of the Act	In favour of the proposal	Abstain
16-Sep-2013	Idea Cellular Limited	AGM	Appointment of Dr. Shridhir Sariputta Hansa Wijayasuriya as Director under Sec 257 of the Act	In favour of the proposal	Abstain
18-Sep-2013	Coal India Limited	AGM	Appointment of Dr. A K Dubey, Smt. Sujata Prasad & Shri A Chatterjee as Directors under Sec 257 of the Act	In favour of the proposal	Abstain
18-Sep-2013	India Cements Limited	AGM	Appointment of Mr. V Manickam as Director under sec 257 of the act	In favour of the proposal	For
20-Sep-2013	Bharat Petroleum Corporation Limited	AGM	Appointment of directors Mr. Tom Jose and Dr. Neeraja Mittal under section 257 of the act	In favour of the proposal	Abstain
20-Sep-2013	Bharat Electronics Limited	AGM	Appointment of directors Mr. P K Mishra, Mr. S M Acharya Lt Gen (Retd) Vinod Kumar Mehta , Mr Vikram Srivastava and Mr. P C Jain under section 257 of the act	In favour of the proposal	Abstain

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20-Sep-2013	Steel Authority of India	AGM	Appointment of directors Mr. Kalyan Maity, Dr. Atmanand and Mr. J M Mauskar under section 257 of the act	In favour of the proposal	Abstain
21-Sep-2013	Oil India Limited	AGM	Appointment of directors Mr. Anup Mukerji, Mr. Suresh Chand Gupta Mr. Shekher Chaudhuri, Mr. Gautam Barua and Mr. Bhaskar Ramamurti under section 257 of the act Mr. Nalin Kumar Srivastava to be appointed as Nominee Director under the section	In favour of the proposal	Abstain
24-Sep-2013	United Spirits Limited	AGM	Appointment of directors Mr. Ravi Rajagopal, Mr. Gilbert Ghostine, Mr. Arunkumar Ramanlal Gandhi, Mr. Sudhakar Rao, Mr. Sivanandan Dhanushkodi, Ms Renu Sud Karnad, Mr. Paul Steven Walsh, Mr. Vikram Singh Mehta and Mr. P A Murali under section 257 of the act	In favour of the proposal	Abstain
24-Sep-2013	Zuari Agro Chemicals Ltd	AGM	Appointment of Mr. Gopalkrishna Pillai as Director under sec 257 of the act	In favour of the proposal	Abstain
25-Sep-2013	GAIL India Limited	AGM	Appointment of Mr.P K Singh , Mr. Ravindra Kumar and Mr. Rajiv Kumar as Directors under sec 257 of the act	In favour of the proposal	Abstain
25-Sep-2013	Oil & Natural Gas Corporation Limited	AGM	Appointment of directors Shri Pronip Kumar Borthakur, Shri Shashi Shanker, Shri K. Narasimha Murthi and Shri Narendra Kumar Verma under section 257 of the act	In favour of the proposal	Abstain
25-Sep-2013	Jagran Prakashan Limited	AGM	Appointment of Mr. Anuj Puri, Mr. Dilip Cherian, who were earlier additional directors, as Directors under sec 257 of the act	In favour of the proposal	Abstain
26-Sep-2013	Tech Mahindra Limited	AGM	Appointment of Mr. Gurnani as Directors under sec 257 of the act	In favour of the proposal	Abstain
26-Sep-2013	Tech Mahindra Limited	AGM	Appointment of Mr. Anand G Mahindra, Mr. Bharat N Doshi, Mr. T N Manoharan, Mrs. M Rajyalakshmi Rao, who were earlier an additional director as per sec 260 of the act as Director to retire by rotation under sec 257 of the Act	In favour of the proposal	Abstain
26-Sep-2013	Power Finance Corporation	AGM	Appointment of Mr. Anil P Gupta and Mr. T L Manoharan as Directors under sec 257 of the act	In favour of the proposal	Abstain
26-Sep-2013	Easun Reyrolle Limited	AGM	Appointment of Mr. M Raman who was an additional director appointed under sec 260, is proposed to be appointed under sec 257 of the act	In favour of the proposal	For
30-Sep-2013	Maharashtra Seamless Limited	AGM	Appointment of Mr. Naresh Chand Jain and P.N.Vijay who were additional directors appointed under sec 260, is proposed to be appointed under sec 257 of the act	In favour of the proposal	Abstain
25-Oct-2013	Shree Cements Limited	AGM	Appointment of Mrs. Leena Srivastava who was additional director appointed under sec 260, is proposed to be appointed under sec 257 of the act	In favour of the proposal	Abstain

Note:

AGM - Annual General Meeting

EGM - Extra-Ordinary General Meeting