



**A. Transmission to surviving unit holders in case of death of one or more unit holders:**

- Letter from surviving unit holders to the AMC/ Mutual Fund/RTA requesting for transmission of units
- Death Certificate in original or photocopy duly notarized or attested by gazette officer or a bank manager\*,
- Bank Account Details of the new first unit holder as per prescribed format along with attestation by a bank branch manager\* or cancelled cheque or bank statement bearing the account details and account holders name.
- KYC of the surviving unit holders, if not already available.

**B. Transmission to registered nominee/s in case of death of Sole or All unit holders:**

- Letter from claimant nominee/s to the AMC/ Mutual Fund/RTA requesting for transmission of units,
- Death Certificate/s in original or photocopy duly notarized or attested by gazette officer or a bank manager\*,
- Bank Account Details of the new first unit holder as per prescribed format along with attestation by a bank branch manager\* or cancelled cheque or bank statement bearing the account details and account holders name.
- KYC of the claimant(s),
- Indemnity duly signed and executed by the nominee(s) in the prescribed format, if the transmission amount is equal to or more than One Lakh Rupees.

**C. Transmission to claimant(s), where nominee is not registered, in case of death of Sole or all unit holders:**

- Letter from claimant(s) to the AMC/ Mutual Fund/RTA requesting for transmission of units
- Death Certificate(s) in original or photocopy duly notarized or attested by gazette officer or a bank manager\*
- Bank Account Details of the new first unit holder as per prescribed format along with attestation by a bank branch manager\* or cancelled cheque or bank statement bearing the account details and account holders name.
- KYC of the claimant(s),
- Indemnity Bond from legal heir(s) as per prescribed format
- Individual affidavits from legal heir(s) as per prescribed format

- If the transmission amount is below One Lakh Rupees: Any appropriate document evidencing relationship of the claimant(s) with the deceased unit holder(s).
- If the transmission amount is equal to or more than One Lakh Rupees: Any one of the documents mentioned below:
  - Notarised copy of Probated Will// Legal Heir Certificate / Succession Certificate / Claimant's Certificate issued by a competent court /Letter of Administration, in case of Intestate Succession.

**D. Transmission in case of HUF, due to death of Karta:**

- Letter from new Karta requesting for change of Karta,
- Death Certificate in original or photocopy duly notarized or attested by gazette officer or a bank manager\*,
- Duly certified Bank certificate stating that the signature and details of new Karta have been appended in the bank account of the HUF as per prescribed format
- KYC of the new Karta and KYC of HUF, if not already available.
- Indemnity bond signed by all the surviving co-parceners and new Karta as per prescribed format.
- In case of no surviving co-parceners OR the transmission amount is equal to or more than One Lakh Rupees OR where there is an objection from any surviving members of the HUF, transmission will be effected only on the basis of any of the following mandatory documents:
  - a. Notarized copy of Settlement Deed, or
  - b. Notarized copy of Deed of Partition, or
  - c. Notarized copy of Decree of the relevant competent Court